Get to Know Your NRS Consultants

NRS consultants are invaluable educators and partners in your compliance program. With an average 21 years of industry experience, our team has unparalleled expertise in the field which they're ready to share at an event near you.

Our NRS Consultants By the Numbers:

125+	200+	5,000+
Combined Years of Experience	Presentations Delivered	On-Site Compliance Program Reviews

Meet our NRS Consultants in person. Register for an event using the NRS event calendar.

Marilyn Miles
Vice President, Consulting and Education

Joined NRS in 1994

Previous Experience: Senior Consultant at NRS specializing in general investment adviser regulatory compliance

Specialty: Consulting Services and Education

Greatest Strength: Investment advisory services

Marilyn contributes to and has been sought for comment and information on various compliance topics by leading industry publications, including *The Wall Street Journal* and *Financial Planning Magazine*.

Mederic Daigneault
Director, Consulting Operations

Joined NRS in 2004

Previous Experience: Associate Attorney at Bryant Law specializing in corporate and securities law and regulatory compliance

Specialty: Private Fund Services

Greatest Strength: Investment adviser compliance, risk mitigation and testing

Author of *The Core, Practical Advisers Act Compliance for the Private Fund Adviser* (an Amazon #1 New Book), Mederic provides sensible expertise to advisers of various sizes—from ultra-large, global managers and complex private fund organizations to smaller yet sophisticated firms seeking to develop effective compliance programs.

Rob Stirling
Executive Consultant

Initially Joined NRS in 1991

Previous Experience: Chief Compliance Officer at Eubel, Brady & Suttman Asset Management

Specialty: Applying the Advisers Act to new business models

Greatest Strength: Designing and monitoring specialized compliance programs

Rob has been a Senior Consultant with NRS for much of the past three decades. His experience working with E*TRADE and other financial services firms gives him a practical, real-world approach to compliance.

Max Mejiborsky
Director of Private Fund Services

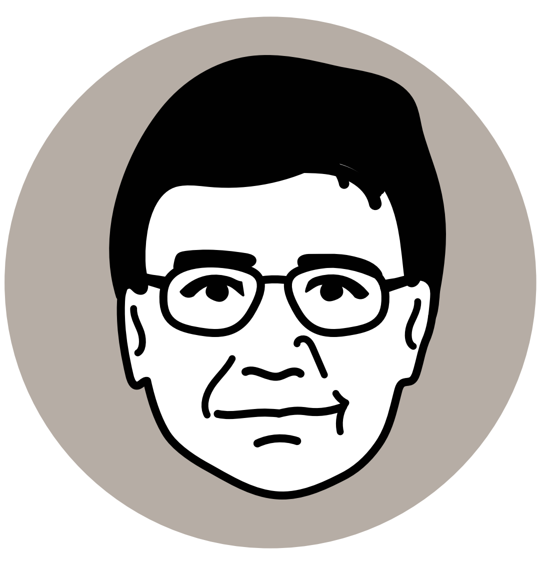
Joined NRS in 2007

Previous Experience: Associate at Choate Hall & Stewart specializing in corporate finance transactions and bankruptcy law

Specialty: Investment Advisory Services

Greatest Strength: Compliance and operational risk assessment and testing

Max provides consulting services on a wide variety of regulatory and compliance issues regarding the Investment Advisers Act and state regulations and conducts on-site client compliance reviews.



Ismael (Ish) Manzanares
Director, Consulting

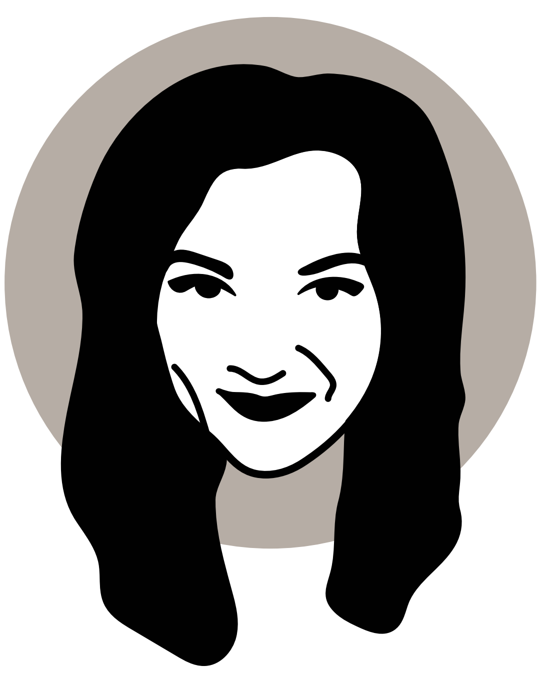
Joined NRS in 2009

Previous Experience: CEO at Madison Avenue Securities, CCO at Foresters Equity Services, FINRA Senior Examiner

Specialty: Broker-Dealer Compliance and Operations

Greatest Strength: Corporate compliance and operations

Ish has over 25 years of experience in the financial services industry and he has been a guest speaker at various LIMRA, FINRA, NSCP and NAIBD conferences.



Amber Tatman
Consultant

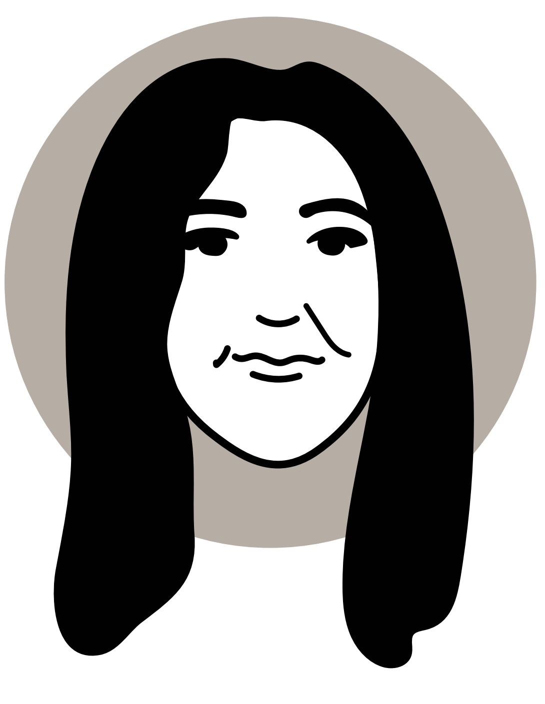
Joined NRS in 2014

Previous Experience: Director of Supervision at Northwestern Mutual, Principal/Operations Specialist at Charles Schwab & Co.

Specialty: Broker-Dealer and Investment Advisory Services

Greatest Strength: On-site compliance program consulting and regulatory issues

Amber has over 19 years of experience in training and mentoring financial representatives and staff, designing and presenting training programs, supervising branch office inspections and consulting on regulatory laws, compliance, and ethics.



Tammy Patel
Senior Consultant

Joined NRS in 2008

Previous Experience: Auditor at NRS specializing in broker-dealer branch audits

Specialty: Broker-Dealer Services, Investment Advisory Services and Compliance Strategy

Greatest Strength: Regulatory exam preparation, including risk assessment reviews and AML examinations

Tammy has an extensive compliance background that includes more than 29 years in the financial services industry. Before joining NRS, Tammy served as National Audit Manager for both MarketCounsel and for Brecek and Young Advisors, Inc. She assists firms with a wide variety of operational and compliance concerns.

Drew A. Ahrens
Senior Consultant

Joined NRS in 2010

Previous Experience: Investment Adviser and Mutual Fund Chief Compliance Officer, Regulatory Consultant

Specialty: Compliance solutions for investment advisors, mutual funds, private equity and hedge funds

Greatest Strength: Developing effective resolution for a wide variety of regulatory issues

Drew works closely with clients providing detailed solutions to complex regulatory issues. He conducts on-site mock audits, annual reviews, and insight on focused areas of concern as well as supporting clients' educational needs.

Larry Nakamura
Senior Consultant

Joined NRS in 2010

Previous Experience: Senior Regulatory Consultant, Chief Compliance Officer at Dunham & Associates

Specialty: Compliance solutions for financial services firms with unique business models

Greatest Strength: Developing creative solutions for complex compliance and regulatory requirements

Larry regularly guides clients through the maze of SEC, CFTC and OCC regulatory requirements, providing practical compliance solutions, advertising reviews, and on-site compliance design and testing.

Meet our NRS Education Consultants in person. Register for an event using the NRS event calendar.

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